

BIRCH, STEWART, KOLASCH & BIRCH, LLP

INTELLECTUAL PROPERTY LAW  
8110 GATEHOUSE ROAD  
SUITE 500 EAST  
FALLS CHURCH, VA 22042  
U S A

(703) 205-8000

FAX: (703) 205-8050

(703) 698-8590 (G IV)

e-mail: mailroom@bskb.com

web: <http://www.bskb.com>

SENIOR COUNSEL:  
ANTHONY L. BIRCH

JOHN W. BAILEY  
JOHN A. CASTELLANO, III  
GARY D. YACURA  
SUSAN S. MORSE  
THOMAS S. AUCHTERLONIE  
EDWARD H. SIKORSKI  
MICHAEL R. CAMMARATA  
JAMES T. ELLER, JR.  
SCOTT L. LOWE  
JOSEPH H. KIM, PH.D.\*  
RICHARD S. MYERS, JR.\*  
MARY ANN CAPRIA\*  
MICHAEL J. CORNELISON\*  
MARK J. NUEL, PH.D.

REG. PATENT AGENTS:  
FREDERICK R. HANDREN  
ANDREW J. TELESZ, JR.  
MARYANNE LIOTTA, PH.D.  
MAKI HATSUMI  
D. RICHARD ANDERSON  
STEVEN P. WIGMORE  
ESTHER H. CHIN  
MIKE S. RYU  
W. KARL RENNER

ERRELL C. BIRCH  
RAYMOND C. STEWART  
JOSEPH A. KOLASCH  
JAMES M. SLATTERY  
BERNARD L. SWEENEY\*  
MICHAEL K. MUTTER  
CHARLES GORENSTEIN  
GERALD M. MURPHY, JR.  
LEONARD R. SVENSSON  
TERRY L. CLARK  
ANDREW D. MEIKLE  
MARC S. WEINER  
JOE MCKINNEY MUNCY  
ANDREW F. REISH  
ROBERT J. KENNEY  
C. JOSEPH FARACI  
DONALD J. DALEY

OF COUNSEL:  
HERBERT M. BIRCH (1905-1996)  
PAUL M. CRAIG, JR.\*  
ELLIOT A. GOLDBERG\*  
WILLIAM L. GATES\*  
EDWARD H. VALANCE  
RUPERT J. BRADY\*

\*ADMITTED TO A BAR OTHER THAN VA

March 27, 1998

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Attorney Docket No. 2119-107P

Sir:

As authorized by the inventors, transmitted herewith for filing is a patent application applied for on behalf of the inventors according to the provisions of 37 C.F.R. § 1.41(c), which claims priority under 35 U.S.C. § 119(e) of Provisional application Serial No. 60/041,725 filed on March 27, 1997.

Inventors: Rodney L. CLARK  
Jay A. HAMMER  
John R. KARPINSKY

For: VERTICAL COMB DRIVE ACTUATED DEFORMABLE MIRROR  
DEVICE AND METHOD

Enclosed are:

X A specification consisting of 17 pages

X Five (5) sheets of formal drawings

— Certified copy of \_\_\_\_\_

X Executed Declaration in accordance with 37 C.F.R. § 1.64 will follow

— A verified statement to establish small entity status under 37 C.F.R. § 1.9 and 37 C.F.R. § 1.27

☐ Preliminary Amendment

☒ Information Sheet

☐ Information Disclosure Statement, PTO-1449 and reference(s)

☐ Amend the specification by inserting before the first line the sentence:

--This application claims priority on provisional application Serial No. \_\_\_\_\_  
filed on \_\_\_\_\_, the entire contents of which are hereby incorporated by  
reference.--

☐ Other \_\_\_\_\_

The filing fee has been calculated as shown below:

			LARGE ENTITY	SMALL ENTITY
BASIC FEE			\$790.00	\$395.00
	NUMBER FILED	NUMBE R EXTRA	RATE FEE	RATE FEE
TOTAL CLAIMS	20-20 =	0	x 22 = \$0.00	x 11 = \$
INDEPENDENT CLAIMS	2-3 =	0	x 82 = \$0.00	x 41 = \$
MULTIPLE DEPENDENT ___ CLAIMS PRESENTED			+ \$270.00 = 0.00	+ \$135.00
TOTAL			\$790.00	

☒ The application transmitted herewith is filed in accordance with 37 C.F.R. § 1.41(c).  
The undersigned has been authorized by the inventor(s) to file the present  
application. The original duly executed declaration together with the surcharge  
will be forwarded in due course.

- ☐ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The filing fee is NOT attached. Please issue a Notice requesting the filing fee.
- ☐ Please charge Deposit Account No. 02-2448 in the amount of \$\_\_\_\_\_. A triplicate copy of this transmittal form is enclosed.
- ☒ Send correspondence to: BIRCH, STEWART, KOLASCH & BIRCH, LLP  
P.O. Box 747  
Falls Church, VA 22040-0747

~~If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 27 C.F.R. § 1.17, particularly, extension of time fees.~~

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By

  
Michael K. Mutter

Reg. No. 29,680

P.O. Box 747

Falls Church, VA 22040-0747

(703) 205-8000

MKM/SSM/kap

IN THE U.S. PATENT AND TRADEMARK OFFICE

INFORMATION SHEET



Applicant: Rodney L. CLARK  
Jay A. HAMMER  
John R. KARPINSKY

Serial No.: New

Filed: March 27, 1998

For: VERTICAL COMB DRIVE ACTUATED DEFORMABLE MIRROR  
DEVICE AND METHOD

Priority Claimed: Provisional Application Serial No. 60/041,725 filed March 27, 1997

Send Correspondence to: BIRCH, STEWART, KOLASCH & BIRCH, LLP  
P.O. Box 747  
Falls Church, VA 22040-0747  
(703) 205-8000

The above information is submitted to advise the USPTO of all relevant facts in connection with the present application.

A timely executed Declaration in accordance with 37 CFR 1.64 will follow.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By

  
Michael K. Mutter

Reg. No. 29,680

P.O. Box 747

Falls Church, VA 22040-0747

(703) 205-8000

MKM/SSM/kap

VERTICAL COMB DRIVE ACTUATED DEFORMABLE  
MIRROR DEVICE AND METHOD

Cross-Reference to Related Applications

This application claims priority under 35 U.S.C. § 119(e) of provisional application U.S. Serial No. 60/041,725 filed on March 27, 1997 which is hereby incorporated by reference in its entirety.

5

Government Rights

The U.S. Government has rights in the present application under Contract BMDO 96-002,003.

Background of the Invention

1. Field of the Invention.

10 The present invention relates to adaptive optics. More particularly, it relates to the use of a vertical comb drive to actuate the deformable mirror in an adaptive optical system.

2. Description of Related Art.

15 Atmospheric turbulence has frustrated astronomers ever since telescopes were invented. Atmospheric turbulence introduces aberrations on the wavefront of visible light, causing stars to twinkle and distant objects to shimmer. Atmospheric turbulence also limits the resolution of microscopes, endoscopes, and other optical imaging systems.

Adaptive optics systems can be used to measure and compensate for rapidly fluctuating wavefront distortions which blur the images of objects viewed through the turbulent atmosphere. While many adaptive optics systems are currently being used, they suffer from many shortcomings. Current systems are typically complex, expensive, unreliable and difficult to maintain, thus putting them out of reach of amateur astronomers or commercial users. Current adaptive optics systems are also very heavy and operate at relatively slow speed making them unsuitable for airborne applications where the fluctuating wavefront distortions move past the aperture at a much faster rate than stationary systems.

Figure 1 illustrates a simple adaptive optics application. A micromachine deformable mirror 100, fabricated as an integrated circuit, is mounted onto a printed circuit board substrate 110. A wavefront sensing and correction microchip 120 is mounted on the substrate 110 next to the deformable mirror 100. The printed circuit board contains drive electronics 115 that connect the wavefront sensor chip 120 to the deformable mirror 100 chip. Incident light 130 is reflected off the micromachine deformable mirror 100. The reflected light 140 then passes through a beam splitter 150. A portion of the reflected light 140 is redirected onto the wavefront sensor 120 by the beam splitter 150.

The wavefront sensor 120 detects any aberration in the wavefront of the reflected light 140. Once it has detected the aberration, the wavefront sensor 120 then calculates the conjugate of the aberration. By applying the conjugate of the aberration to the incident light 130 as the light strikes the deformable mirror 100, the aberration is subtracted from the wavefront, and a corrected wave of light is reflected from the deformable mirror 100. The wavefront sensor 120 drives the deformable mirror 100 via the drive electronics 115 to correct for the detected aberration.

The primary obstacles to wider use of adaptive optics systems are cost, complexity, and reliability. Should reliable, low cost solid state adaptive optic systems become commercially available, many new applications can be envisioned. Laser communications is an example of such a market. Laser communication systems can support the signal bandwidth needed for High

Definition Television without the need to run fiber optic cables between a remote mobile unit and a roving camera crew. Current laser communications have limited range due to atmospheric distortions that cause beam bending and scatter energy from the beam. An adaptive optic system capable of correcting  
5 for these effects can greatly extend range and improve performance.

A low cost adaptive optics system would find immediate applications in optical microscopes. Such a system could produce significantly improved imaging in difficult medical environments, improving the performance of conventional and confocal microscopes. A low cost adaptive optics system has  
10 potential to enhance the operational performance of all clinical microscopes in use today.

A low cost adaptive optics system would also find applications in endoscopy. Here the adaptive optics system can be used for both imaging and transmitting the laser energy for endoscopic surgical procedures. There is  
15 considerable medical instrumentation and diagnostic equipment in the market place today. However, higher resolution imaging and more accurate placement of laser energy is needed to improve these instruments.

A low cost adaptive optic system could also find application in ophthalmology and optometry. In this application a small low power laser device  
20 is used to create an artificial guide star on the retina of the eye. The spot of light reflected off the retina forms a source to drive a wavefront sensor of an adaptive optic system. The kind of retinal camera that stands to benefit even more from adaptive optics is the confocal scanning laser ophthalmoscope (CSLO). Many clinical applications require the ability to optically section the retina in depth.  
25 Such sectioning can be achieved with confocal imaging in principle but current CSLOs do not tap the potential of confocal imaging because the retinal image quality is too poor. A CSLO equipped with adaptive optics could reap the full benefit of confocal imaging, improving the transverse resolution of current instruments by a factor of three and the axial resolution by a factor of ten.

30 Typical adaptive optics systems are composed of at least three core elements: (1) a wavefront sensor to detect optical aberrations, (2) electronic

circuitry to compute a correction, and (3) a deformable mirror to apply the correction. The deformable mirror is a critical component of an adaptive optics system. It is used to apply the correction to the distorted wavefront. In current technology, the deformable mirror is also the most expensive component of the adaptive optical system. In order to realize a low cost adaptive optical system, a low cost deformable mirror must be developed. The current art presents three alternative technologies for deformable mirrors: liquid crystals, stacked piezoelectrics, and Micro-Electro-Mechanical Systems ("MEMS").

Phase Modulating Liquid Crystal Display (LCD), devices offer low weight, low cost, and low power alternatives to large opto-mechanical devices. Also, cost effective bulk manufacturing methods currently exist for these devices. However, current LCD devices suffer from limited fill factor, limited bandwidth, and inadequate dynamic range.

Bandwidth limitations will preclude the use of LCD's for airborne or missile applications.

Stacked piezoelectrics ("SPZT") utilize a new generation of piezoelectric technology that costs less and features the best advantages of actuators made from piezoelectric ("PZT") or lead manganese niobate ("PM") technologies. However, current SPZT devices suffer from high current operation, significant actuator nonuniformity, relatively high power dissipation, and moderate hysteresis effect. Moreover, these devices are relatively expensive when compared to liquid crystals or MEMS devices.

The recent advent of MEMS technology offers an alternative for the construction of cost effective mechanical mirror actuators. The technology is based upon the well established fabrication methods used to manufacture integrated circuits. Micromachining promises major improvements in overall performance and reduction of cost. The MEMS deformable mirrors have shown the lowest fabrication cost, lowest power consumption, lowest mass, lowest volume, elimination of hysteresis, elimination of polarization effects. best power on, and lowest non-uniformity of any current deformable mirror technology. An additional advantage of this technology is that integrated electronic circuits can



be fabricated directly on the same substrate as the micromirror. This allows for significant system simplification in that mirror drive electronics can be fabricated directly on the mirror substrate. MEMS technology offers light weight components, thus making it entirely suitable for ground based, airborne, and  
5 even hand held wavefront correction applications.

However, prior MEMS deformable mirror designs have been based on the electrostatic parallel plate capacitor actuators. This method of actuation has been successful but produces actuators with limited stroke, e.g., less than one micron, and a nonlinear voltage-versus- displacement curve. The nonlinear curve  
10 results from the  $1/r$  dependence of the attractive force on gap distance. Recently, new MEMS actuator concepts have been demonstrated which use electrostatic comb drives to produce large stroke and linear voltage verses displacement curves. Unfortunately the current designs do not produce the vertical displacements necessary for use in an optical system.

15 Summary of the Invention

It is therefore an object of the present invention to provide a low cost deformable mirror for use in an adaptive optics system which overcomes the problems noted above. It is further an object of the present invention to provide a deformable mirror with a high stroke and substantially linear voltage--  
20 versus-displacement curve.

These and other objects of the present invention may be realized by providing a deformable mirror by combining a vertical comb drive with a reflective surface. The deformable mirror may also include a spring for biasing the vertical comb drive to maintain the reflective surface in an original position  
25 absent application of a voltage to the vertical comb drive.

The vertical comb drive may include a first array of stationary elements and a second array of moving elements correspondingly interspersed with the first array. The reflective surface would be attached to the second array. A layer covering tops of elements of the second array may be provided. A spring may  
30 be used to suspend the first array relative to the second array, preferably via the

layer. The stationary elements and the movable elements may be circular or planar. The layer may be attached directly to the reflective surface or with a post which is preferably in a center of the layer. Voltage may be applied to each stationary element of the first array individually or each moving element of the  
5 second array individually.

The vertical comb drive may include an array of vertical comb actuators. Each actuator of the array may be individually addressed to provide a voltage thereto. Springs for individually suspending each of the second arrays of each actuator in the array may be provided. Preferably, an anchor for supporting the  
10 springs is also provided.

The vertical comb drive may include a plurality of cavities and teeth interdigitally mounted with said cavities, the reflective surface being attached to the teeth. A top layer may be provided between the teeth and the reflective surface. A conductor for individually connecting each tooth of the teeth to a  
15 voltage source may also be provided.

These and other objects of the present invention may also be realized in accordance with a method of deforming a mirror including attaching the mirror to a vertical comb actuator and applying a voltage to the vertical comb actuator. The vertical comb drive may include an array of vertical comb actuators and the  
20 applying individually applies voltage to the vertical comb actuators.

Further scope of applicability of the present invention will become apparent from the detailed description given hereinafter. However, it should be understood that the detailed description and specific examples, while indicating preferred embodiments of the invention, are given by way of illustration only,  
25 since various changes and modifications within the spirit and scope of the invention will become apparent to those skilled in the art from this detailed description.

#### Brief Description of the Drawings

The present invention will become more fully understood from the  
30 detailed description given hereinbelow and the accompanying drawings which

are given by way of illustration only, and thus are not limitative of the present invention, and wherein:

Figure 1 is a schematic of a simple adaptive optics system;

Figure 2 is a perspective cross-sectional view of a single deformable  
5 mirror element having a vertical comb drive of the present invention;

Figure 3 is a perspective cross-sectional view of an alternative embodiment of the present invention;

Figure 4A is a side cross-sectional view of another alternative embodiment of the present invention;

10 Figure 4B is a bottom view of the configuration shown in Figure 4A; and

Figure 5 is a cross section of two adjacent actuators in accordance with the present invention.

#### Description of Preferred Embodiments

As noted above, typical MEMS have been based on electrostatic parallel  
15 plate capacitor actuators. The separation between the plate determines the amount of stroke available, but an increase in the separation increase the voltage required to deform the mirror. Thus, an increase in the stroke invariably increases the voltage. Further, since this separation between the plates is changing throughout the stroke, the response of the deformable mirror to the  
20 applied voltage is nonlinear. Electrostatic comb drives have been demonstrated to produce a large stroke and linear operation, but do not produce the vertical displacement required for use in deformable mirror applications.

Therefore, in accordance with the present invention, a vertical comb mirror actuator (VCAM) having a set of interdigitized shells for actuating a  
25 deformable mirror is provided. The VCAM includes a set or array of stator shells and a corresponding set or array of movable slider shells. The mirror membrane is attached to the slider shells. Introducing a voltage potential between the stator shells and the slider shells pulls the slider shells down, deforming the thin membrane mirror. The vertical displacement is the result of  
30 a force created by the edge capacitance between the slider shells and the stator

shells. The force generated by the comb drives is independent of the relative position of the two electrode plates and is linear while the plates overlap. This essentially eliminates the non-linear voltage-verses-displacement curve so problematic in the prior art. Unlike the parallel plate design, the gap between these elements remains constant throughout the stroke. In addition to the substantially linear operation of the VCAM, the VCAM can also provide a high stroke, e.g., 20  $\mu\text{m}$ , with relatively low voltages, e.g., 35-100 volts.

The actual design of the shells may vary in accordance with a desired application. The shape of the shells is typically designed to maximize surface area between the shells. The other design parameters of the shells include the width of the shells, the width of the gap between the shells, and the number of shells. The length of the shells is determined by the required stroke. For example, when the actuator is to have a stroke of greater than six microns, the typical requirement to correct for atmospheric distortions, applying a maximum of two hundred volts, and a frequency response of 10 kHz, a working design has a shell width of ten microns, an inter-shell gap of five microns and seven slider shells.

The actuator also preferably includes a spring for mounting or suspending the slider shells, biasing the slider shells in a neutral position when no voltage is applied to the stator shells, and preventing horizontal, i.e., side-to-side movement, of the slider shells. Preferably, a spring is positioned at each of the four corners of the actuator element and is attached at one end to a wall provided next to the actuator and at the other end either to the mirror itself or to the slider shells. Thus, the dimensions of the spring also affect the actuator design. A working spring design for the design parameters noted above is a folded spring having two legs, each leg having a length of one hundred twenty-five microns, a width of twenty microns, a spacing between the legs being ten microns and the space between the last leg and the mirror or surface adjoining the slider shells being five microns, and the spring having a spring constant of 12.4  $\mu\text{N}/\mu\text{m}$ .

When providing an array of multi-element actuators, the larger the spacing between the actuators, the less force is needed to move one actuator with respect to adjacent actuators. For the above stroke or throw of six microns, and delta motion between adjacent actuators of one micron, the actuators should  
5 be spaced by approximately five hundred microns. The number of actuators in the array is application dependent, and is a design criteria to be considered by those skilled in the art.

The following configurations illustrate different VCAMs in accordance with the present invention. Preferably, the deformable mirror is a thin  
10 continuous membrane of reflective material spanning each of the actuator elements in the array. The deformable mirror can also be a segmented design, but the continuous membrane design was found to have superior optical characteristics. The deformable mirror may be made of, for example, silicon nitride coated with metal to reflect at a desired wavelength or electroplated  
15 metal. The more precise the deformations need to be, the more flexible the mirror material should be.

The combs or shells may be made by plating nickel on a silicon substrate using a photoresist to define the combs and then planarizing the nickel by lapping the structure, thereby forming the combs with a multi-layer electro-  
20 forming. Alternatively, the combs may be made in silicon with a trench refill process alone for use with segmented mirror or using two wafers and anodic wafer bonding for use with continuous mirrors.

Figure 2 is a cross-section perspective view of a single deformable mirror actuator element 200 of the present invention. The preferred embodiment  
25 consists of two sets of interdigitized circular shells, a set of stator shells 210 and a set of movable slider shells 220. Preferably, a layer 230 overlies the top of the slider shells 220 so that movement of any of the slider shells 220 affects the layer 230. The use of the layer 230 allows for a continuous profile to be realized by the deformable mirror 250, as opposed to the step functions which would  
30 result if the slider shells 220 were directly attached to the deformable mirror 250.

US 2010/0327490

The layer 230 is attached by a vertical post 240 to the thin membrane mirror 250, thereby attaching the continuous membrane deformable mirror 250 to the actuator 200. Preferably, the post is in the center of the layer 230 and the mirror 250. The stiffness of the post 240 will determine how much of the movement of the slider shells 220 is translated to the mirror 250. The position and number of posts may be varied to apply the deformation to different regions of the mirror 250. For example, if tilt is a parameter to be controlled, four posts, one at each corner of the actuator may be provided. Typically for a complex shape, the single post is used and the surface area of the mirror region effected by movement of the actuator attached by that post smoothly abuts adjacent mirror regions effected by adjacent actuators.

Applying an activation voltage to the actuator element 200 via the stator shells 210 pulls the slider shells 220 down, thereby deforming the thin membrane mirror 250. The stator shells 210 may be individually addressed. When the activation voltage is removed, the small attached springs 260 pull the slider shells 220 back into position, thereby reforming the thin membrane mirror 250. In practice, an array of actuator elements 200 would be fabricated on a single base substrate 270. The actuator elements 200 would be individually electrically addressed to form a complete adaptive optics deformable membrane mirror 250 by appropriately patterning the substrate 270, or by providing individual conductors for each shell.

Figure 3 is a perspective cross-section of an alternative embodiment of a single actuator 300 embodying the present invention. The actuator element 300 of this embodiment provides stator shells 310, slider shells 320 integral to a slider top or layer 330, a post 340 connecting the slider shells 320 to the deformable mirror membrane 350, springs 360 to return the deformable mirror 350 to its initial position, and a substrate 370 upon which the actuator resides. In Figure 3, an example of a wall 380 to which the spring 360 is attached is shown. The view in Figure 3 is a cut away, as the wall 380 would be beneath the mirror 350.

Figure 4A is a cross section view of yet another alternative embodiment of an actuator embodying the present invention. Unlike the configurations shown in Figures 2 and 3, in which each actuator has multiple elements, i.e., more than two shells, and the array of these distinct multiple element actuator, the configuration in Figures 4A and 4B is a continuous array of two element  
5 actuators. In particular, the base 470 of the actuator 400 is made of a conductive material. In this conductive material there are holes or cavities or trenches 410 which are either drilled, etched, or electroformed or created by any suitable fabrication technique. Inside these holes, there is another conductive material in the form of teeth 420 which does not touch the sides of the holes, but is electrically isolated from the base material. These teeth are mounted on another structural base 430 that keeps the teeth 420 rigidly in place within the holes 410 in the base 400. This base 430 can be either electrically conductive or insulating, but does need to provide a surface for the electrical conductor 440 which  
10 connects each tooth 420 to a voltage source.

When a voltage is applied between the teeth 420 and the actuator base 400, an electrostatic force is generated pulling the teeth 420 into the holes 410. Note in this embodiment that the voltage is applied to individually addressed teeth 420 which are the moving elements of the actuator 400, rather than to the  
20 stationary elements as in the previous embodiments. If it is desired that this vertical motion be applied to an optical mirror surface 450, that surface is applied to the tooth base material 430 by some suitable application method such as sputtering or vapor deposition. As in Figures 2 and 3, springs attached outside of the actuator region are provided for supporting the teeth 420 above the  
25 cavities 410.

Figure 4A illustrates the same embodiment as Figure 4B, but it is a top view instead of a cross section view. As can be seen from the perspective shown in Figure 4B, the teeth can be circular, although the teeth can be any shape, e.g., rectangular, octagonal, etc., to match the needs of any particular application or  
30 fabrication method. Further, while the surface in Figure 4B is circular, it may be any desired shape, including rectangular.

The configuration of Figures 4A and 4B is more useful for effecting bulk parameters, such as displacement plus tilt in two orthogonal directions, while the configurations in Figures 2 and 3 are more useful for effecting complex shapes on the mirror surface, as explained below.

5 Figure 5 is a cross-section of two adjacent actuators 500 attached to the same continuous membrane mirror 560. The actuator 500 may have the configuration of actuator 200 shown in Figure 2 or that of the actuator 300 shown in Figure 3. In practice, there will be hundreds of evenly spaced actuators 500 for one continuous membrane mirror 530.

10 The actuators 500 include stator 510 and sliders 520. The sliders 520 are integrated with a slider top 530. Posts 540 attach the slider 520 via the slider top 530 to the deformable mirror membrane 550. The posts 540 are thus evenly spaced on the under side of the membrane 550 and are centered on the actuator 500 and the portion of the mirror 550 the actuator 500 is to effect. Each actuator  
15 500 and post 540 will pull on the mirror 550 with the strength of the actuator force that is controlled by the amplitude of the applied voltage.

If only one actuator 500 is actuated, it will cause a dimple in the membrane 500 as shown in Figure 5. The stiffness or rigidity of the membrane 550 itself prevents the membrane from being deflected with sharp edges at the  
20 deflection point of the posts 540. Instead, the result is the gradually curved dimple as shown in Figure 5, allowing the variation in the surface from one actuator to the next to be smooth. The actuators 500 are all addressed separately, so that when multiple actuators are actuated each with different forces, very complex surface shapes can be produced.

25 In addition, springs 560 return the deformable mirror 550 to its initial position as shown in the actuator on the left in Figure 5. The actuator 500 resides on a substrate 570. An anchor or wall 580, for each actuator 500, serves to support the spring 560. The actuator on the right is in the deflected position with the springs 560 bent and the membrane mirror 550 deformed. This  
30 deflection is accomplished by applying a voltage between the stator 510 and the slider 530, thereby resulting in an attractive force between them, pulling the



slider 530 down as shown on the right relative to the initial position shown on the left.

- Many variations in the design of the comb itself may be realized in accordance with the present invention. The density of the actuators is also application specific. The invention being thus described, it will be obvious that the same may be varied in many ways. Such variations are not to be regarded as a departure from the spirit and scope of the invention, and all such modifications as would be obvious to one skilled in the art are intended to be included within the scope of the following claims.

WHAT IS CLAIMED IS:

- 1           1.     A deformable mirror comprising:  
2                     a vertical comb drive; and  
3                     a reflective surface attached to said vertical comb drive.
- 1           2.     The deformable mirror according to claim 1, further comprising  
2     a spring for biasing said vertical comb drive to maintain said reflective surface  
3     in an original position absent application of a voltage to said vertical comb  
4     drive.
- 1           3.     The deformable mirror according to claim 1, wherein said vertical  
2     comb drive comprises a first array of stationary elements and a second array of  
3     moving elements correspondingly interspersed with said first array, said  
4     reflective surface being attached to said second array.
- 1           4.     The deformable mirror according to claim 3, further comprising  
2     a layer covering tops of elements of said second array.
- 1           5.     The deformable mirror according to claim 4, a spring for  
2     suspending said first array relative to said second array, said spring being  
3     attached to said layer.
- 1           6.     The deformable mirror according to claim 3, wherein said  
2     stationary elements and said movable elements are circular.

1           7.     The deformable mirror according to claim 3, wherein said  
2 stationary elements and said movable elements are planar.

1           8.     The deformable mirror according to claim 4, wherein said layer  
2 is attached directly to said reflective surface.

1           9.     The deformable mirror according to claim 4, further comprising  
2 a post attaching said layer to said reflective surface.

1           10.    The deformable mirror according to claim 9, wherein said post  
2 is in a center of said reflective surface.

1           11.    The deformable mirror according to claim 3, wherein voltage is  
2 applied to each stationary element of said first array individually or each moving  
3 element of said second array individually.

1           12.    The deformable mirror according to claim 3, wherein said vertical  
2 comb drive comprises an array of vertical comb actuators.

1           13.    The deformable mirror according to claim 12, means for  
2 individually providing voltage to each actuator of said array.

1           14.    The deformable mirror according to claim 12, further comprising  
2 springs for individually suspending each of said second array of each actuator  
3 in said array.

1           15.    The deformable mirror according to claim 14, further comprising  
2 an anchor for supporting said springs.

1           16.    The deformable mirror according to claim 1, wherein said vertical  
2    comb drive comprises plurality of cavities and teeth interdigitally mounted with  
3    said cavities, said reflective surface being attached to said teeth.

1           17.    The deformable mirror according to claim 16, further comprising  
2    a top layer between the teeth and the reflective surface.

1           18.    The deformable mirror according to claim 16, further comprising  
2    a conductor for individually connecting each tooth of said teeth to a voltage  
3    source.

1           19.    A method of deforming a mirror comprising:  
2                    attaching the mirror to a vertical comb actuator; and  
3                    applying a voltage to the vertical comb actuator.

1           20.    The method according to claim 19, wherein said vertical comb  
2    drive comprises an array of vertical comb actuators and said applying  
3    individually applies voltage to said vertical comb actuators.

ABSTRACT

A deformable mirror includes a vertical comb actuator having a reflective surface attached thereto. The vertical comb drive includes stationary elements interspersed with moving elements. When a potential difference is provided between these elements, the moving elements are pulled downward, thereby  
5 deforming the reflective surface. The vertical comb drive typically includes a plurality of actuators, which are individually electrically addressed. Each actuator may be an array of interspersed elements or a cavity and corresponding tooth. Springs support the moving elements and bias the reflective surface in an original position. The vertical comb drive provides a large stroke and  
10 substantially linear voltage-versus-displacement curve throughout the stroke.

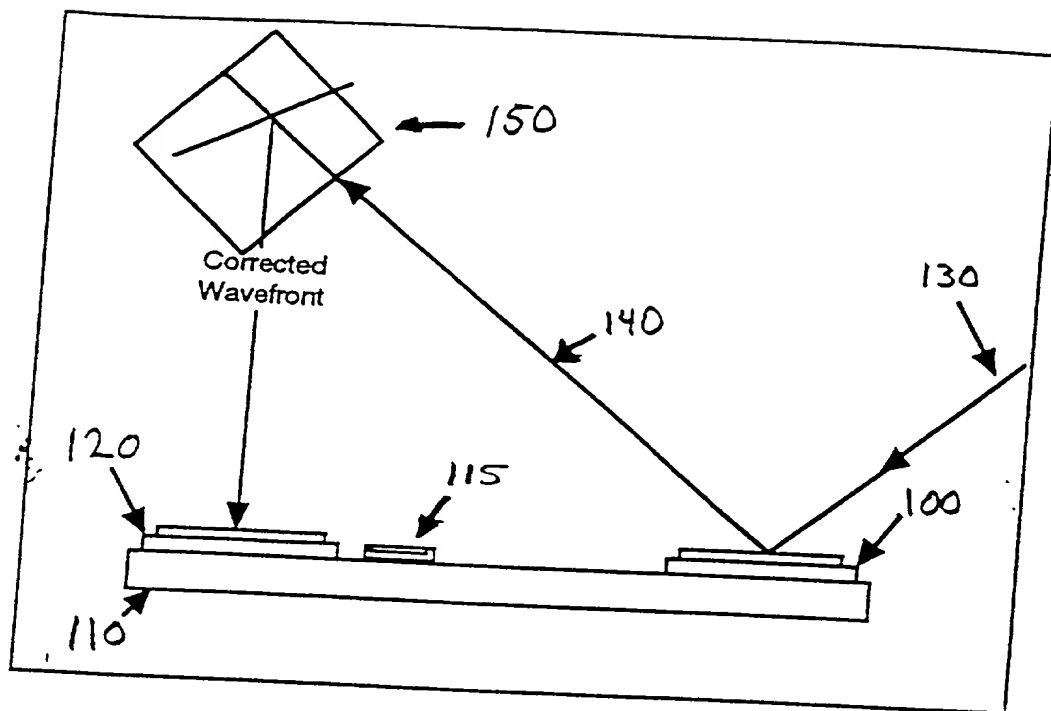


Figure 1.

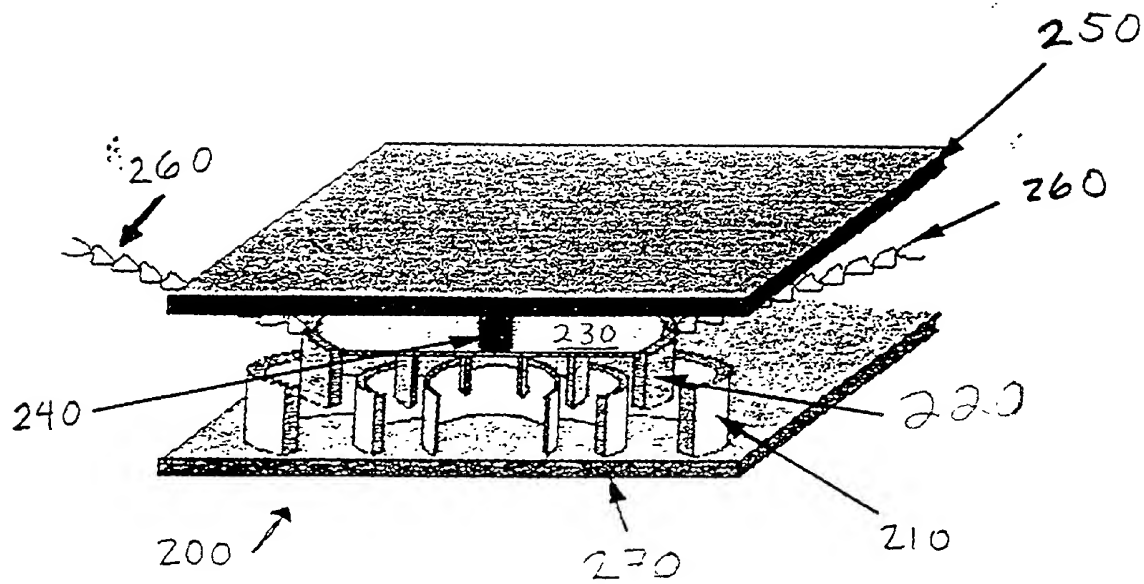


Figure 2.

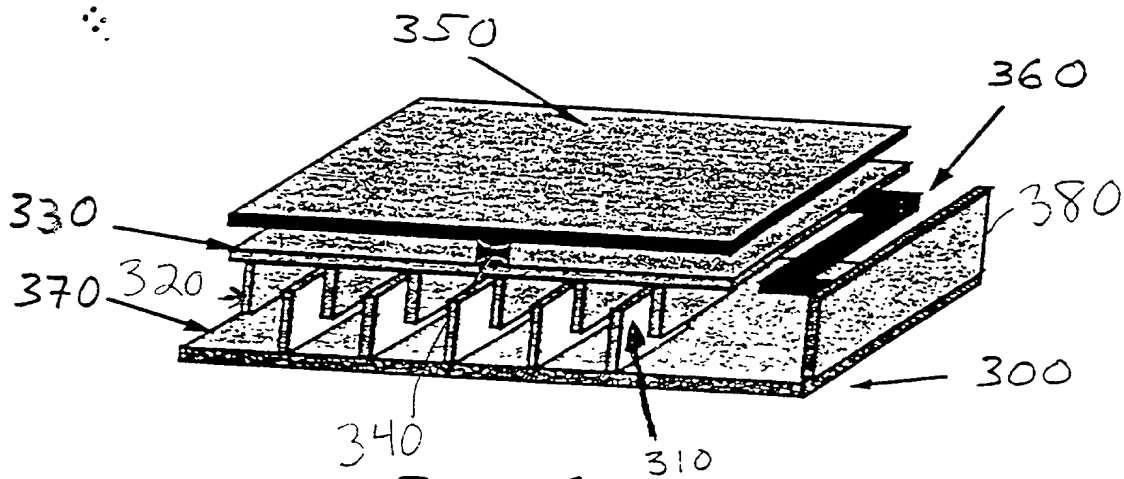


Figure 3.



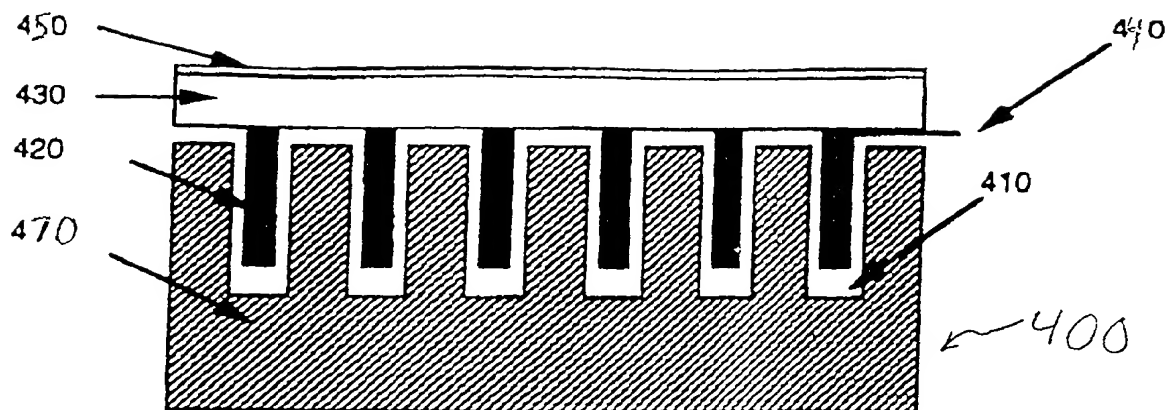


Figure 4A

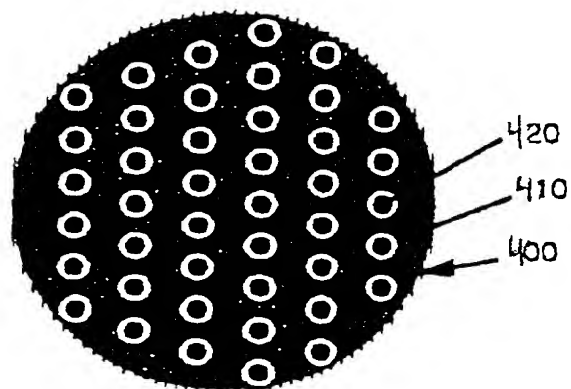


Figure 4B

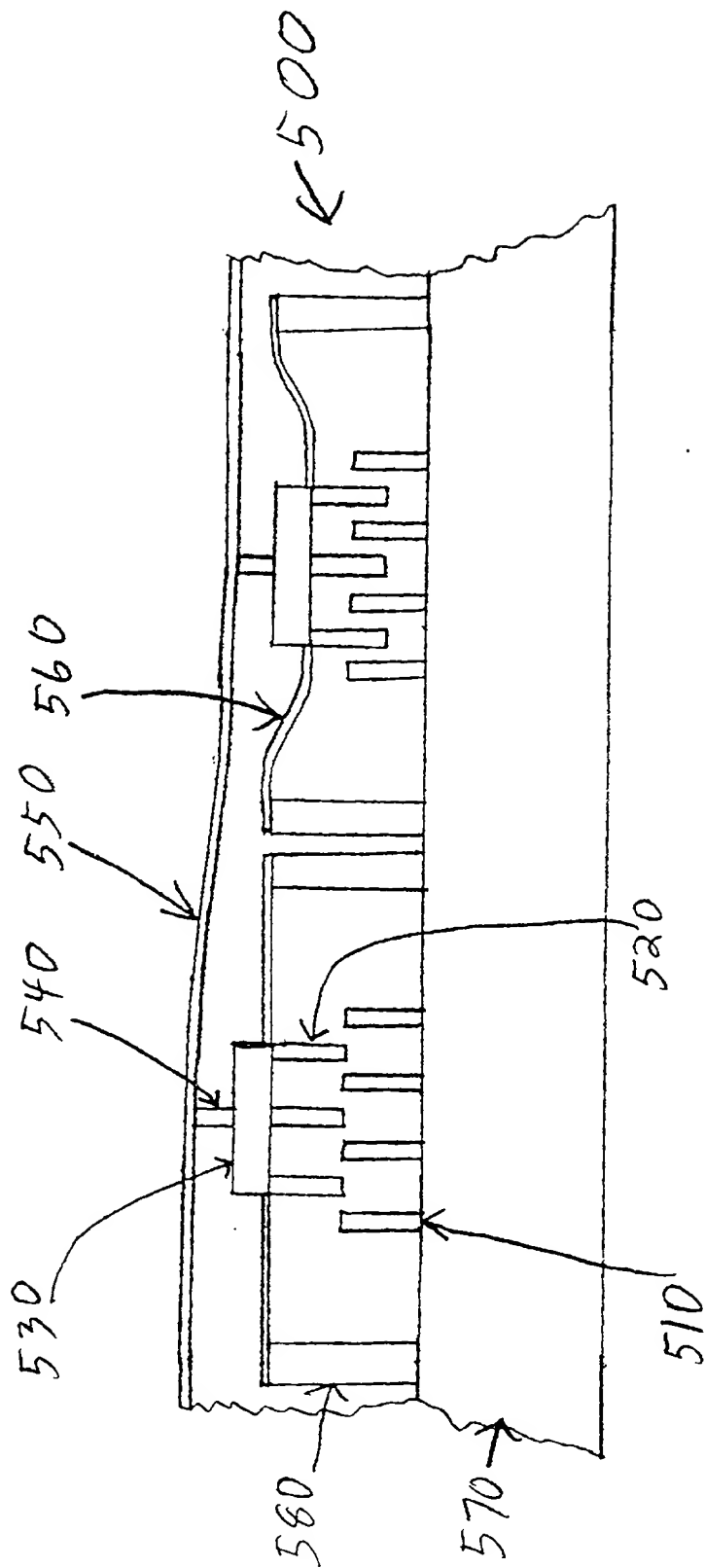
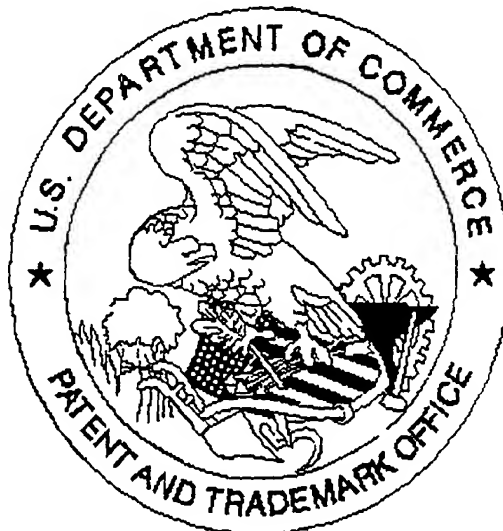


Figure 5

# United States Patent & Trademark Office

Office of Initial Patent Examination -- Scanning Division



## Application deficiencies found during scanning:

1. Application papers are not suitable for scanning and are not in compliance with 37 CFR 1.52 because:
  - ☐ All sheets must be the same size and either A4 (21 cm x 29.7 cm) or 8-1/2" x 11".  
Pages \_\_\_\_\_ do not meet these requirements.
  - ☐ Papers are not flexible, strong, smooth, non-shiny, durable, and white.
  - ☐ Papers are not typewritten or mechanically printed in permanent ink on one side.
  - ☐ Papers contain improper margins. Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4").
  - ☐ Papers contain hand lettering.
2. Drawings are not in compliance and were not scanned because:
  - ☐ The drawings or copy of drawings are not suitable for electronic reproduction.
  - ☐ All drawings sheets are not the same size. Pages must be either A4 (21 cm x 29.7 cm) or 8-1/2" x 11".
  - ☐ Each sheet must include a top and left margin of at least 2.5 cm (1"), a right margin of at least 1.5 cm (9/16") and a bottom margin of at least 1.0 cm (3/8").
3. Page(s) \_\_\_\_\_ are not of sufficient clarity, contrast and quality for electronic reproduction.
4. Page(s) \_\_\_\_\_ are missing.
5. OTHER: No Declaration